

## **Notification of and Consent to Collection, Processing and Utilization of Personal Information**

( Online Investor Personal Information Inquiry System )

The Taiwan Stock Exchange Corporation (the TWSE) is required to collect, process and utilize your personal information for the purpose of processing “the investor personal information inquiry.” Please read the following information carefully to protect your rights (the purpose of collection and classification of personal data below are governed by the specific purposes and classification of personal data set forth in the Personal Data Protection Act announced by the Ministry of Justice):

1. Purpose of Collection: 166 “Securities, futures, securities investment trusts and consultants related business.” We collect personal data to handle procedures pertaining to, and respond to, your exercise of personal data rights such as the right to inquiry, review and request for duplication copies of personal information.
  2. Types of Personal Information:  
Personal information collected by the TWSE includes:
    - (1)C001 Type for identifying individuals: name, e-mail address, IP, foreign investor number
    - (2)C003 Type for identifying in government data: I.D. card number, Alien Residence Certificate number, or Mainland Chinese Entry and Exit Permit number
    - (3)C011 Personal description: gender, date of birth, nationality
  3. The time period, territory, user, and method of utilization of personal information:
    - (1) Time period: personal information will be deleted after 3 years from the date of the TWSE’s response to your application and no archives will be kept.
    - (2) Territory: within the territory of the Republic of China and international transmissions permitted by the law.
    - (3) User: the personal information will be used by the TWSE or be provided to the industry competent authority of the TWSE or other third parties in accordance with the law.
    - (4) Method of utilization: the personal information will be collected, processed, and utilized digitally or in paper form.
  4. Rights of the personal information owner and ways to exercise the rights: inquire about and review personal information, to request duplicate copies, to request supplementation or correction; any necessary request for ceasing collection, processing or utilization or for erasure shall be made in person at or in writing to the TWSE. However, erasure is not required if the collection, processing or use is necessary for the performance of a business duty, the specific purpose no longer exists, or the retention period has not expired. If necessary, you may call our investor service at tel: 02-27928188.
  5. Consequence of personal information owner refusing the provision of personal information: You must provide the above personal information to the TWSE for the aforementioned specific purpose. The TWSE may not be able to provide the requested service in full if the information required in the application forms is not provided.
-

**Within the business scope conforming to the above purposes for personal information collection, I hereby agree for the TWSE to collect, process, and utilize my personal information. After being notified of the above information, I fully acknowledge that my personal information collected, processed, and utilized by the TWSE is correct.**

**Agree**☐

**Do Not Agree**☐