

**Application to Taiwan Stock Exchange Corporation for Inquiring about Securities
Account Opening and Trading Data for Employees of Companies/Firms and
Their Spouses and Minor Children**

To: Taiwan Stock Exchange Corporation

The trustee, _____ [name of company/firm], authorizes _____ to apply for and on behalf of the client _____ and others (totaling _____ individuals, as shown in the attached list), for inquiring about the personal information about their account opening, brokerage trading or trading data at the centralized stock exchange market.

Date:

Applicant,	i.e. Client (see the attached list for details)		
Trustee	<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid black; width: 200px; height: 150px;"></div> <div style="border: 1px solid black; width: 100px; height: 80px;"></div> </div> <p>(Company's/Firm's seal and representative's personal seal)</p>		
Uniform Business No.			
TEL			
Email for Receiving Invoice			
Company/Firm Address			
Authorized Representative	Attach a photocopy of the front of the authorized representative's identification (identity card or R.O.C. passport with Overseas Chinese Identity Endorsement, <div style="text-align: center; margin-top: 10px;"> (Please sign or seal) </div>		

National ID No.		Overseas Chinese Identity Certificate, Alien Resident Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, or identification issued by an overseas mission) here.
TEL		
Authorized Representative's Address	<div style="display: flex; justify-content: space-between;"> <div>City/County</div> <div>Village</div> </div> <div>(Town, Administrative City, District)</div> <div style="display: flex; justify-content: space-between;"> <div>Cun/Li</div> <div>Lin</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Road/Street</div> <div>Section</div> <div>Lane</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Alley</div> <div>No. - F</div> </div>	

Notes:

1. The company's/firm's seal and representative's personal seal must be affixed on the attached list.
2. After verification of an original, please affix the "this is a true copy of the original" stamp to the photocopy.
3. Where the Client has changed his/her last name, first name, or whole name, a photocopy of the household registry recording the change or of the certificate of name change record shall be submitted.
4. Provide the supporting documents required under the internal control system, self-regulatory rules or code of professional ethics.
5. The invoice for payment of fee for in-person inquiries will be sent by email.