

Consent Letter

(Applicable to requests for information on a minor where both parents are required by law to jointly act as his/her agents and only one of the parents is authorized for whatever reasons to make the request)

I, the undersigned, _____ hereby agree for _____ to act as the agent of the minor child _____ and request information regarding account openings, consignment orders or transaction records or such other personal information.

Attach the photocopy of the front page of the undersigned's National ID Card, ROC passport page showing Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certification, Alien Resident Certificate, foreign passport, Entry Permit for People from the Mainland Area, or identification issued by the overseas mission here.

*** Stamp the following words on the photocopy of the certificates after the original has been verified: "Certified Copy of the Original."**

Address

Telephone Number

Statement of Collection, Processing and Utilization of Personal Information

The Taiwan Stock Exchange Corporation (the TWSE) is required to collect, process and utilize your personal information for the purpose of processing "the applicant's inquiry, review and request for duplication of personal information." Please read the following information carefully to protect your rights. (the purpose of collection and classification of personal data below are governed by the specific purposes and classification of personal data set forth in the Personal Data Protection Act announced by the Ministry of Justice):

1. Purpose of collection: 166 "Securities, futures, securities investment trusts, and consultants related business." We collect personal data to handle procedures pertaining to, and respond to, your exercise of personal data rights such as the right to make an inquiry, the right to review, and the right to request duplicate copies.
2. Types of personal information: as stated herein.
 - (1) C001 Type for identifying individuals: Name, address, telephone number
 - (2) C003 Type for identifying in government data: I.D. card number, Alien Residence Certificate number, passport number, or Mainland Chinese Entry and Exit Permit number
 - (3) C011 Personal description: Gender, date of birth, or nationality
 - (4) C021 Family: Name of spouse
 - (5) C023 Details of other family members: Names of minor children
 - (6) C033 Immigration: R.O.C. passport with Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certificate, or identification issued by an overseas mission
 - (7) C034 Travel and other migration: Alien Resident Certificate, foreign passport, or Mainland Chinese Entry and Exit Permit
3. The time period, territory, user and method of utilization of personal information:
 - (1) Time period: personal information will be deleted after three years from the date of the TWSE's response to your application.
 - (2) Territory: within the territory of the Republic of China and international transmissions permitted by the law.
 - (3) User: the personal information will be used by the TWSE or be provided to the industry competent authority of the

TWSE or other third parties in accordance with the law.
(4) Method of utilization: the TWSE will collect, process, and utilize your personal information through digital files or hard copies.

4. Rights of the personal information owner and ways to exercise the rights:
You may exercise the personal information owner's rights in regard to the protection of personal information online, by phone, or in person at the TWSE etc., including the right to inquire about and review personal information, to request duplicate copies, to request supplementation or correction; any necessary request for ceasing collection, processing or utilization or for erasure shall be made in person at or in writing to the TWSE.

However, erasure may not be conducted if the collection, processing or use is necessary for the performance of a business duty, the specific purpose no longer exists, or the retention period has not expired. If necessary, you may call our investor service at 02-27928188

5. Consequence of personal information owner refusing the provision of personal information: The TWSE may not be able to provide the requested service in full if the information required in the application forms is not provided.

Within the business scope conforming to the above purposes for personal information collection, I hereby agree for the TWSE to collect, process, and utilize my personal information. After being notified of the above information, I fully acknowledge that my personal information collected, processed, and utilized by the TWSE is correct.

Authorizer:

(Signature or seal)

Date: (ROC) Year _____ Month _____ Date _____

Note: This consent is made for the purpose of inquiries of personal information regarding account openings, consignment orders, or transaction records in the centralized securities exchange market by a minor, as such right of the minor shall be exercised by the legal representative of the minor. In case the parents of the minor are unable to jointly act as the agents of the minor, one of the parents may authorize the other for the matters stated above.