

**Certificate**

( For application by adults to receive information by mail)

To: Taiwan Stock Exchange Corporation			
The undersigned, _____, is unable to request in person information in relation to matters stated in the application. The _____ Branch of _____ Securities Co., Ltd. has verified and confirmed the application has been made by the undersigned.			
Date: ( R O C ) Year _____ Month _____ Date _____			
Name of Principal	( Sign or Affix Seal )	National ID Number (Foreign Investment Number or Entry and Exit Permit Number)	
Address of Principal			
Telephone Number			
Authorized Securities Broker	_____ Branch of _____ Securities Co., Ltd.		(Company Chop)
	Telephone Number: _____		
<p><b>Notification of and Consent to Collection, Processing and Utilization of Personal information</b></p> <p>Taiwan Stock Exchange Corporation (the TWSE) requires your assistance in verifying the principal's qualification and providing certain personal information, which involves collection, processing and use as described below, for the specific purpose of processing the principal's application to your company for exercising the rights to inquiry, review, and duplication etc. of personal information to the TWSE. Please read the following information carefully and sign personally (the purpose of collection and classification of personal data below are governed by the specific purposes and classification of personal data set forth in the Personal Data Protection Act announced by the Ministry of Justice):</p> <ol style="list-style-type: none"> <li>Purpose of Collection: 166 "Securities, Futures, Securities Investment Trusts and Consultancy Related Business." To process and respond to your requests for exercising the rights to inquiry, review, and duplication etc. of personal information.</li> <li>Type of Personal Information: The personal information the TWSE collects include:             <ol style="list-style-type: none"> <li>C001 Information identifying individuals: name, address, telephone number, and foreign investment number.</li> <li>C003 Government information: ID number, Alien Resident Certificate number, passport number, or Certificate of Entry and Exit for People of the Mainland China Area number.</li> <li>C011: Personal description: nationality.</li> </ol> </li> <li>The time period, territory, user, and method of utilization of personal information:             <ol style="list-style-type: none"> <li>Time period: the TWSE will delete the data in this application three years from the date of the TWSE's response to your application.</li> <li>Territory: within the territory of the Republic of China and international transmissions permitted by laws or regulations.</li> <li>User: the personal information will be used by the TWSE or be provided to the industry competent authority of the TWSE or other third parties in accordance with laws or regulations.</li> <li>Method of utilization: the TWSE will collect, process, and use the personal information through digital files or hard copies.</li> </ol> </li> <li>Rights of the data subject and ways to exercise the rights: <b>You may exercise the following data subject rights in relation to personal information online, by phone or in person at the TWSE, including the right to inquire about and review personal information, request duplicate copies, request supplementation or correction; any necessary request for ceasing collection, processing or utilization or for erasure shall be made in person at or in writing to the TWSE.</b> However, erasure is not required if the collection, processing or use is necessary for the performance of a business duty, the specific purpose no longer exists, or the retention period has not yet expired. If necessary, you may call the TWSE investor service at TEL: 02-27928188.</li> <li>Impact of the data subject's refusal to provide personal information on its rights and interests: You must provide the above personal information to the TWSE for the aforementioned specific purpose. The TWSE may not be able to provide the requested service in full if you are unable or fail to provide said information.</li> </ol>			

Within the business scope conforming to the above purposes for personal information collection, I hereby agree for the TWSE to collect, process, and utilize my personal information. After being notified of the above matters, I fully acknowledge that my personal information collected, processed, and utilized by the TWSE is correct.

Supervisor (Signature or seal) :

Case Manager :

(Signature

**\*\*\*\*\* The supervisor and case manager above shall be qualified business representatives registered with Taiwan Stock Exchange Corporation. \*\*\*\*\***