

Application for Requesting Securities Account and Transaction Information of a Minor by a Legal Representative or Guardian

Legal Representative or Guardian	Consent of Legal Representative
To: Taiwan Stock Exchange Corporation The undersigned (legal representative) _____, acting as the agent of the minor _____ (applicant), hereby requests personal information of account openings, consignment orders, or transaction records etc. in the centralized securities exchange market. Signature: _____ Telephone: _____ Date: Year _____ Month _____ Date _____	To: Taiwan Stock Exchange Corporation The undersigned (legal representative), _____, consents to _____ acting as the agent of the minor _____ (applicant) to request the minor's personal information of account openings, consignment orders, or transaction records etc. in the centralized securities exchange market and obtain the results of the request. Signature: _____ Telephone: _____ Date: Year _____ Month _____ Date _____
Requested Information ☐ Details of securities accounts held at securities brokers (Account opening information)	Important Information ※ An individual applicant that has changed his or her last

		Information about securities transactions	name, first name, or full name is required to provide the Census Registration or Household Certificate with note of the change, or evidence of his or her name change for verification by the TWSE. ※ For purpose of inquiry, provision of the application form and photocopying ID document and/or supporting documents are free of charge. ※ If the applicant makes the request in person or through an agent to the TWSE, or makes a request to the securities broker to receive information by mail: 1. For each request only for account opening information, a handling fee and computer processing fee of TWD 300 is charged per request per individual. 2. For each request only for transaction information, or for both account opening and transaction information, a handling fee and computer processing fee of TWD 500 is charged per request per individual. 3. A request made in person by an applicant who is 65 years old or above for the applicant's own account opening or transaction information to the TWSE is free of charge. 4. In case of a request by an employee or his/her spouse of a securities investment trust enterprise or securities investment consulting enterprise (SITE/SICE) in accordance with the "Procedures for Inquiries Regarding Securities Investment Trust/Consulting Enterprises," or a request by an employee or his/her spouse of a company/firm in accordance with "Procedures for Inquiries Regarding Companies/Firms" in person at the TWSE for information about him/herself, his/her spouse, or minor children, the fee schedule applicable to the request procedure may apply if they present the employment verification issued by the company/firm within three months. ※ Fees may be paid promptly by an in-person request, or, if required by a mail, by wire transfer to the following account: Bank Name: Cathay United Bank, Guanqian Branch (Bank Code 013); Account Name: Taiwan Stock Exchange Corporation; Account No.: 001030912922. An invoice will be separately sent by email.
		☐ Transactions record From Year_____ Month_____ Date_____	
		To Year_____ Month_____ Date_____	
		☐ Consignment orders From Year_____ Month_____ Date_____	
		To Year_____ Month_____ Date_____	
Applicant (minor)		(Sign or Affix Seal)	Attach the photocopy of the front page of the applicant's National ID Card, or ROC passport page showing Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certification, Alien Resident Certificate, foreign passport, Entry Permit for People from the Mainland Area, or identification issued by an overseas mission here. (In case of a Household Certificate, please provide the version with detailed narratives and attach a photocopy to this authorization letter.)
National ID Number (Foreign Investment Number or Entry/Exit Permit Number)			
Legal Representative or Guardian	Telephone Number		
	Email		
	Address		

	(Sign or Affix Seal)	Legal Representative	(Sign or Affix Seal)
Attach the photocopy of the front page of the legal representative's or guardian's National ID Card, or ROC passport page showing the Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certification, Alien Resident Certificate, foreign passport, Entry Permit for People from the Mainland Area, or identification issued by an overseas mission here.		Attach the photocopy of the front page of the consenting party's National ID Card, or ROC passport page showing the Overseas Chinese Identity Endorsement, Overseas Chinese Identity Certification, Alien Resident Certificate, foreign passport, entry permit for people from the Mainland Area, or identification issued by an overseas mission here.	
(In case the parents are not joint agents in accordance with the law, please attach to this application form a photocopy of documentation sufficiently proving that one of the parents has sole custody or that a person other than the parents has guardianship.)		(In case the parents are not joint agents, in accordance with the law, please attach to this application form a photocopy of documentation sufficiently proving that one of the parents has sole custody or a person other than the parents has guardianship.)	

Note: Stamp the following words on the photocopy of the certificates after the original has been verified: "Certified Copy of the Original."