

Letter of Consent

I, _____, hereby agree to have _____ act for and on behalf of our minor child named _____ to appoint _____ Securities Investment Trust/Consulting Co., Ltd. to inquire about personal information such as account opening, brokerage trading, and trading data at the centralized stock exchange market for an unlimited number of times as necessary for its business operation during the period from [mm/dd/yyyy] to [mm/dd/yyyy].

| | | | | | | | | | | | | | | | | | |
|---|---|---|--------|-------------|-------------|-----|---|---|---------|------|-------|--|--|--|--|--|--|
| <p>Attach a photocopy of the front of the undersigned's identification (identity card or R.O.C., passport with Overseas Compatriot Identity Endorsement, Overseas Compatriot Identity Certificate, Alien Resident Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, or identification issued by an overseas mission) here</p> <p style="margin-top: 20px;">* After verification of an original, please affix the "this is a true copy of the original" stamp to the photocopy.</p> | <p>Notification and Letter of Consent in Regard to the Collection, Processing and Use of Personal Information</p> <p>For the purposes of handling "requests for and requests to review and make copies of the personal data of a data subject," Taiwan Stock Exchange Corporation (hereinafter We, Us, Our, or TWSE) needs to collect, process, and use your personal data. To protect your legitimate rights and interests, please read the following carefully (the purpose of collection and classification of personal data below are governed by the specific purposes and classification of personal data set forth in the Personal Data Protection Act announced by the Ministry of Justice):</p> <ol style="list-style-type: none"> 1. Purpose of collection: 166 "Securities, futures, securities investment trusts and consultants related business." We collect personal data to handle procedures pertaining to, and to respond to, your exercise of personal data rights such as the right to make an inquiry, the right to review, and the right to request a copy, etc. 2. Classification of personal data Personal data We collect include: <ol style="list-style-type: none"> (1) C001 Type for identifying individuals: Name, address, telephone number, or foreign investor code number (2) C003 Type for identifying in government data: I.D. card number, Alien Residence Certificate number, passport number, or Mainland Chinese Entry and Exit Permit number (3) C011 Personal description: Gender, date of birth, nationality (4) C023 Details of other family members: Names of minor children (5) C033 Immigration: R.O.C. passport with Overseas Compatriot Identity Endorsement, Overseas Compatriot Identity Certificate, or identification issued by an overseas mission (6) C034 Travel and other migration: Alien Resident Certificate, foreign passport, or Mainland Chinese Entry and Exit Permit 3. Time period, area, target, and way of the use of personal data | | | | | | | | | | | | | | | | |
| <p>Undersigned's Address</p> | | | | | | | | | | | | | | | | | |
| <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">City/County</td> <td style="width: 20%;">Village (Town, Administrative City, District)</td> <td style="width: 10%;">Cun/Li</td> <td style="width: 10%;">Lin</td> <td style="width: 20%;">Road/Street</td> <td style="width: 10%;">No.</td> <td style="width: 10%;">-</td> <td style="width: 10%;">F</td> </tr> <tr> <td>Section</td> <td>Lane</td> <td>Alley</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table> | City/County | Village (Town, Administrative City, District) | Cun/Li | Lin | Road/Street | No. | - | F | Section | Lane | Alley | | | | | | |
| City/County | Village (Town, Administrative City, District) | Cun/Li | Lin | Road/Street | No. | - | F | | | | | | | | | | |
| Section | Lane | Alley | | | | | | | | | | | | | | | |
| <p>TEL.</p> | | | | | | | | | | | | | | | | | |

| | |
|--|---|
| | <p>(1) Time period: Data of this application will be deleted three years from the date of Our response to your application</p> <p>(2) Area: Internal transmission within the territory of the R.O.C. and as required by laws and regulations</p> <p>(3) Target: The personal data will be used by Us or furnished in accordance with laws and regulations to the competent authority or other third persons</p> <p>(4) Way: We will collect, process, and use your personal data digitally or in paper form</p> <p>4. Rights of the data subject and way of exercising the rights: You may exercise your data subject rights in personal data online, by telephone, or in person at the TWSE, including the right to make an inquiry and to review; request a copy; request supplementation or correction. If you need to request the cessation of collection, processing, or use, or request erasure, an application must be made in person at or in writing to the TWSE. However, erasure is not required if the collection, processing, or use is necessary for the performance of a business duty, the specific purpose no longer exists, or the retention period has not expired. If necessary, you may call Our investor service at Tel: 02-27928188.</p> <p>5. Impact of the data subject's refusal to provide personal data: You must provide the above personal data to Us for the aforementioned specific purpose. We may be unable to offer the above information request service to you should you be unable or fail to so provide.</p> <p>6. Others: This notification is effective with regard to requests to the TWSE to review account opening and trading data by persons authorized by the Trustee (i.e., the staff responsible for the matter). The Trustee may submit an unlimited number of requests to the TWSE on a regular or random basis.</p> <p>-----</p> <p style="text-align: center;">-</p> <p>To the extent of business consistent with the above specific purposes for collection, I hereby agree that the TWSE may collect, process, and use my personal information. After being notified of the above by the TWSE, I clearly understand the content of collection, processing, and use of my personal information by the TWSE. I agree the Trustee may further authorize persons it designates (i.e., staff responsible for the matter) to make requests to the TWSE for review of securities account opening and trading data. I further agree the Trustee may submit an unlimited number of requests to the TWSE as necessary for its business operation on a regular or random basis during the period from [mm/dd/yyyy] to [mm/dd/yyyy].</p> |
|--|---|

Signed by (please sign or seal):

Date

Note: This Letter of Consent may be used only for making enquiries about the personal information of a minor such as account opening, brokerage trading, and trading data at the centralized stock exchange market, which must be performed by the minor's legal representative. If the parents of the minor are unable for cause to perform together, one of the parents may complete this Letter of Consent and deliver it to the other for the above purposes.