

**Application to Taiwan Stock Exchange Corporation for Inquiring about Securities Account Opening and Trading Data for Employees of Companies/Firms and Their Spouses and Minor Children**

To: Taiwan Stock Exchange Corporation

The trustee, \_\_\_\_\_ [name of company/firm], authorizes \_\_\_\_\_ to apply for and on behalf of the client \_\_\_\_\_ and others (totaling \_\_\_\_\_ individuals, as shown in the attached list), for inquiring about the personal information about their account opening, brokerage trading or trading data at the centralized stock exchange market.

Date:

<b>Applicant,</b>	i.e. Client (see the attached list for details)		
<b>Trustee</b>		<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid black; width: 200px; height: 100px;"></div> <div style="border: 1px solid black; width: 100px; height: 60px;"></div> </div> <p>(Company's/Firm's seal and representative's personal seal)</p>	
Uniform Business No.			
TEL			
Email for Receiving Invoice			
Company/Firm Address	City/County      Village (Town, Administrative City, District)      Cun/Li      Lin Road/Street      Section      Lane Alley      No.      -      F		
<b>Authorized Representative</b>	(Please sign or seal)	Attach a photocopy of the front of the authorized representative's identification (identity card or R.O.C. passport with Overseas Chinese Identity Endorsement,	

National ID No.		Overseas Chinese Identity Certificate, Alien Resident Certificate, foreign passport, Mainland Chinese Entry and Exit Permit, or identification issued by an overseas mission) here.
TEL		
Authorized Representative's Address	City/County                      Village (Town, Administrative City, District)                      Cun/Li                      Lin Road/Street                      Section                      Lane Alley                      No.                      -                      F	

Notes:

1. The company's/firm's seal and representative's personal seal must be affixed on the attached list.
2. After verification of an original, please affix the "this is a true copy of the original" stamp to the photocopy.
3. Where the Client has changed his/her last name, first name, or whole name, a photocopy of the household registry recording the change or of the certificate of name change record shall be submitted.
4. Provide the supporting documents required under the internal control system, self-regulatory rules or code of professional ethics.
5. The invoice for payment of fee for in-person inquiries will be sent by email.