

[Translation]

7 December 2023 Letter No. Financial-Supervisory-Securities-Firms-
1120148460
Attached List

Issued by	Issue date	Issue No.	Summary
The former Securities and Futures Commission, Ministry of Finance	22 September 1997	Letter No. (86)-Taiwan-Finance-Securities-(4)-62673	After an overseas Chinese or foreign national has obtained approval and invests in the Republic of China (Taiwan) in accordance with the Statute for Investment by Overseas Chinese or the Statute for Investment by Foreign Nationals, and then, with approval from the competent authorities, withdraws their investment or transfers their shareholding to domestic investors, the funds they obtain thereby, if they will reinvest them in domestic securities, may be remitted directly into the custodian institution custodial account opened in accordance with Article 18 of the Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals.
The former Securities and Futures Commission, Ministry of Finance	23 March 2000	Letter No. (89)-Taiwan-Finance-Securities-(8)-26646	Letter of interpretation regarding the definition of "financial bonds" in the Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals.
The former Securities and Futures Commission, Ministry of Finance	22 August 2001	Letter No. (90)-Taiwan-Finance-Securities-(8)-004011	Approval that trust funds offered and issued overseas by securities investment trust enterprises, qualified foreign institutional investors, and overseas Chinese and foreign nationals may, in the capacity of original

			shareholder, participate in subscribing common stock or preferred stock issued for a cash capital increase by a TWSE or TPEX listed company.
The former Securities and Futures Commission, Ministry of Finance	15 February 2002	Letter No. (91)-Taiwan-Finance-Securities-(8)-104987	Cash dividends obtained by an overseas Chinese or foreign national that has obtained approval and invests in the Republic of China (Taiwan) in accordance with the Statute for Investment by Overseas Chinese or the Statute for Investment by Foreign Nationals, if they will reinvest the dividends in domestic securities, may be remitted directly into the custodian institution custodial account opened in accordance with Article 18 of the Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals.
The former Securities and Futures Commission, Ministry of Finance	11 September 2002	Order No. Taiwan-Finance-Securities-VIII-0910145148	Approval that offshore overseas Chinese and foreign nationals may, in the capacity of a specific person, participate in subscribing new shares that are issued for a cash capital increase by a TWSE or TPEX listed company or Emerging Stock company and that have not been subscribed by company employees and have not been subscribed by original shareholders.
The former Securities and Futures Commission, Ministry of Finance	1 October 2002	Order No. Taiwan-Finance-Securities-VIII-0910005032	Approval that domestic securities privately placed by TWSE and TPEX listed and Emerging Stock companies are within the scope of securities in which offshore overseas Chinese and foreign nationals may invest.
The former Securities and Futures Commission, Ministry of Finance	20 December 2002	Taiwan-Finance-Securities-VIII-0910162956	Approval that qualified foreign institutional investors and overseas Chinese and foreign nationals may receive transfer of new share subscription rights transferred by original shareholders of a TWSE or TPEX listed company or Emerging Stock company.