Attachment 1

**Securities Investment Trust Enterprise Application for Inquiry of Securities Account Opening and Trading Data for Client**

|  |  |  |
| --- | --- | --- |
| To: Taiwan Stock Exchange Corporation  We, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Co., Ltd., a securities investment trust enterprise, hereby submit this application, for and on behalf of the client \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ and others (totaling \_\_\_\_\_ individuals, as shown in the attached list), for inquiry of the personal information about their account opening, brokerage trading or trading data at the centralized stock exchange market.  Date: | | |
| **Applicant, i.e. Client** | | (See the attached list for details) |
| Trustee |  | (Company seal and  representative's personal seal) |
| Uniform Business No. |  |
| TEL |  |
| Address |  |
| Authorized Party |  | 身分證(正面)影本黏貼處 Attach a photocopy of the front of  the identification here. |
| National I.D. No. |  |
| TEL |  |
| Address: |  |

**※ The company seal must be affixed on the attached list.** (201311)

List to Attachment 1

**XX Investment Trust Co., Ltd.**

**List of Clients Requesting the Service of Inquiry of Securities Account Opening and Trading Data**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Serial No. | Name | National I.D. No. | Address | Details of Securities Account | Trading Records | History of Brokerage Trading | Note |
|  |  |  |  | ˇ | From [ ] to [ ] | From [ ] to [ ] |  |
|  |  |  |  |  | From [ ] to [ ] | From [ ] to [ ] |  |
|  |  |  |  |  | From [ ] to [ ] | From [ ] to [ ] |  |

**※ The company seal must be affixed on the attached list.**

(201311)