

表 6-4

同一證券經紀商開設二個以上交易帳戶更名完成登記證明

Form 6-4

Certificate of Completed Registration for Account Name Change Where Two or More Trading Accounts Have Been Opened in the Same Securities Brokerage Firm

身分編號： ID No.:
證券商開立帳號為： Securities firm account opening no.:
證券商開戶身分碼： Securities firm account opening identity code:
投資人帳戶名稱： Investor's account name:
投資人英文名稱： Investor's English name:
保管銀行： Custodian bank:
代理人： Agent:
已完成登錄同一證券經紀商開設二個以上交易帳戶更名資料 Information on Two or More Already Registered Trading Accounts Opened at the Same Securities Brokerage Firm
1.交易帳戶戶名一 1.Trading account 1
符合規定條件： Conditions for compliance with regulations: <input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.
舊帳戶戶名： 舊英文戶名： 新帳戶戶名： 新英文戶名： Old account name:

<p>Old English name: New account name: New English name:</p>
<p>完成更名登記日期： Date name-change registration completed:</p>
<p>2.交易帳戶戶名二 2.Trading account 2</p>
<p>符合之規定條件 Conditions for compliance with regulations:</p> <p><input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.</p>
<p>舊帳戶戶名： 舊英文戶名： 新帳戶戶名： 新英文戶名： Old account name: Old English name: New account name: New English name:</p>
<p>完成更名登記日期： Date name-change registration completed:</p>
<p>3.交易帳戶戶名三 3.Trading account 3</p>
<p>符合之規定條件 Conditions for compliance with regulations:</p> <p><input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.</p>

<input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.
舊帳戶戶名： 舊英文戶名： 新帳戶戶名： 新英文戶名： Old account name: Old English name: New account name: New English name:
完成更名登記日期： Date name-change registration completed:
4. 交易帳戶戶名四 4. Trading account 4
符合之規定條件 Conditions for compliance with regulations: <input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager. <input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms <input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts. <input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.
舊帳戶戶名： 舊英文戶名： 新帳戶戶名： 新英文戶名： Old account name: Old English name: New account name: New English name:
完成更名登記日期： Date name-change registration completed: