

表 6-3

同一證券經紀商開設二個以上交易帳戶更名申請登記表

Form 6-3

Application Form for Registration of Account Name Change Where Two or More Trading Accounts Have Been Opened in the Same Securities Brokerage Firm

申請人登記之基本資料 (1-3 項資料系統自動給出) Applicant's basic registration information ((items under 1-3 provided automatically by the system)	
1. 身分編號: 1. ID No.:	
2 投資人 2. Investor	
中文名稱: Chinese name:	英文名稱: English name:
3.代理(表)人及保管銀行 3. Agent (or representative) and custodian bank	
代理(表)人: (公司章) Agent (or representative) (company seal)	保管銀行: Custodian bank:
同一證券經紀商開設二個以上交易帳戶 (前已登錄資料系統自動給出, 請點選欲更名帳戶) Two or more accounts opened at the same securities brokerage firm (previously registered information provided automatically by the system; please select the account name you wish to change)	
1. 交易帳戶一 1. Trading account 1	
符合規定條件: Conditions for compliance with regulations:	
<input type="checkbox"/> 投資策略委請外部經理人操作 <input type="checkbox"/> The investment strategy calls for operation by an external manager.	
<input type="checkbox"/> 內部投資作業使用不同交易平台 <input type="checkbox"/> Internal investment operations use different transaction platforms	
<input type="checkbox"/> 指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求 <input type="checkbox"/> It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.	
<input type="checkbox"/> 其他經主管機關核定者 <input type="checkbox"/> Other conditions approved by the competent authority.	

請輸入卻修訂之附加名稱：

舊中文名稱：

舊英文名稱：

新中文名稱：

新英文名稱：

Please enter the changes you wish to make in the name attached to this account:

Old Chinese name:

Old English name:

New Chinese name:

New English name:

2. 交易帳戶二

2. Trading account 2

符合之規定條件：

Conditions for compliance with regulations:

投資策略委請外部經理人操作

The investment strategy calls for operation by an external manager.

內部投資作業使用不同交易平台

Internal investment operations use different transaction platforms

指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求

It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.

其他經主管機關核定者

Other conditions approved by the competent authority.

請輸入卻修訂之附加名稱：

舊中文名稱：

舊英文名稱：

新中文名稱：

新英文名稱：

Please enter the changes you wish to make in the name attached to this account:

Old Chinese name:

Old English name:

New Chinese name:

New English name:

3. 交易帳戶三

3. Trading account 3

符合規定條件：

Conditions for compliance with regulations:

投資策略委請外部經理人操作

The investment strategy calls for operation by an external manager.

內部投資作業使用不同交易平台

Internal investment operations use different transaction platforms

指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求

It is necessary to assign different external account administrators (e.g. global brokers or

global custodian banks) to operate and manage accounts.

其他經主管機關核定者

Other conditions approved by the competent authority.

請輸入卻修訂之附加名稱：

舊中文名稱：

舊英文名稱：

新中文名稱：

新英文名稱：

Please enter the changes you wish to make in the name attached to this account:

Old Chinese name:

Old English name:

New Chinese name:

New English name:

4.交易帳戶四

4.Trading account 4

符合規定條件：

Conditions for compliance with regulations:

投資策略委請外部經理人操作

The investment strategy calls for operation by an external manager.

內部投資作業使用不同交易平台

Internal investment operations use different transaction platforms

指派不同外部帳戶管理者 (如國際證券或全球保管銀行) 操作管理帳戶之需求

It is necessary to assign different external account administrators (e.g. global brokers or global custodian banks) to operate and manage accounts.

其他經主管機關核定者

Other conditions approved by the competent authority.

請輸入卻修訂之附加名稱：

舊中文名稱：

舊英文名稱：

新中文名稱：

新英文名稱：

Please enter the changes you wish to make in the name attached to this account:

Old Chinese name:

Old English name:

New Chinese name:

New English name: