

表 3-3-1
大陸地區投資人更名申請登記表
Form 3-3-1
Application for Registration of Name Change by Mainland Area Investor

身份編號： ID No.:	
1. 申請人 1. Applicant	
舊中文名稱： Original Chinese Name:	舊英文名稱： Original English Name:
新中文名稱： New Chinese name:	新英文名稱： New English name:
2. 代理（表）人及保管銀行 2. Agent (representative) and custodian bank:	
代理人：（公司章） Agent: (Company Seal)	保管銀行： Custodian bank:
聯絡人員： Contact person:	代表人： Representative:
電話： Tel:	電子郵件信箱： Email address:
3. 型態 3. Type	
大陸地區合格機構投資者(請擇一勾選): Mainland Area qualified institutional investor (please check one): 類別： Type: 證券主管機關核准： Approved by China Securities Regulatory Commission: <input type="checkbox"/> 開放型基金 <input type="checkbox"/> Open-end fund <input type="checkbox"/> 封閉型基金 <input type="checkbox"/> Closed-end fund <input type="checkbox"/> 集合計畫 <input type="checkbox"/> Collective plan 銀行主管機關核准： Approved by China Banking Regulatory Commission:	大陸籍員工集合投資專戶 (請擇一勾選) 類別： Segregated collective investment account for Mainland Area national employees (please check one): Type: <input type="checkbox"/> 上市(櫃)公司之海外分公司 <input type="checkbox"/> The overseas branch company of the TWSE (or GTSM) listed company <input type="checkbox"/> 上市(櫃)公司之海外子公司 <input type="checkbox"/> The overseas subsidiary of the TWSE (or GTSM) listed company <input type="checkbox"/> 第一上市、櫃公司 大陸籍股東投資專戶 <input type="checkbox"/> Segregated collective investment account for Mainland Area national

<input type="checkbox"/> 銀行 <input type="checkbox"/> Bank <input type="checkbox"/> 信託公司 <input type="checkbox"/> Trust company 保險主管機關核准： Approved by the China Insurance Regulatory Commission: <input type="checkbox"/> 保險公司 <input type="checkbox"/> Insurance company <input type="checkbox"/> 保險集團公司 <input type="checkbox"/> Insurance group company <input type="checkbox"/> 保險控股公司 <input type="checkbox"/> Insurance holding company	shareholders of a TWSE (or GTSM) primary listed company <input type="checkbox"/> 第一上市、櫃公司 <input type="checkbox"/> A TWSE (or GTSM) primary listed company
4. 聲明事項：(自簽署日起生效) 4. Declarations (effective from date of signing):	
<p>(1). 依申請人之基金章程或成立契約等文件，其投資或交易策略之性質，聲明係屬投資或避險目的：(請務必勾選)</p> <p>○投資 ○避險</p> <p>The applicant declares that its investment or trading strategy, based on the fund prospectus or fund establishment agreement, is for investment or hedging purposes:</p> <p style="padding-left: 40px;">○ Investment ○ Hedging</p> <p>(2). 申請人所提供之文件與內容屬實且無虛假。</p> <p>(2). The documents by the applicant and the information they contain are truthful and accurate.</p> <p>(3). 申請人絕不以不當手段干擾金融市場運作。</p> <p>(3). The applicant will under no circumstances use inappropriate means to interfere with the operation of the market.</p>	<p>(1). 申請人所提供之文件與內容屬實且無虛假。</p> <p>(1). The documents by the applicant and the information they contain are truthful and accurate.</p> <p>(2). 申請人絕不以不當手段干擾金融市場運作。</p> <p>(2). The applicant will under no circumstances use inappropriate means to interfere with the operation of the market.</p>

申請人：

Applicant:

有權簽字人之姓名及簽章：

Name and signature/Seal of qualified signatory:

職稱：

Position title:

填表日期：
Form Completion Date: